



1064 U.S. PTO  
10/30/01

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PTO/SB/05 (03-01)  
Approved through 10/31/2002. OMB 0651-0032  
U.S. Patent and Trademark Office  
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# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 C.F.R. 1.53(b))

Attorney Docket No. 1570-005  
First Inventor Gregg J. Ghelli  
Title LAMP ASSEMBLY WITH DUAL MODE REFLECTOR  
Express Mail Label No. EL800112560US

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. ☐ Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original and a duplicate for fee processing)
2. ☐ Applicant claims small entity status.  
See 37 CFR 1.27.
3. ☒ Specification [Total Pages 26]  
(preferred arrangement set forth below)
  - Descriptive title of the Invention
  - Cross Reference to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to sequence listing, a table, or a computer program listing appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
4. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 4]
5. Oath or Declaration [Total Pages ]
  - a. ☐ Newly executed (original or copy)
  - b. ☐ Copy from a prior application (37 CFR 1.63 (d))  
(for a continuation/divisional with Box 18 completed)
  - i. ☐ DELETION OF INVENTOR(S)  
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6. ☐ Application Data Sheet. See 37 CFR 1.76

## ADDRESS TO:

Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
  - a. ☐ Computer Readable Form (CRF)
  - b. Specification Sequence Listing on:
    - i. ☐ CD-ROM or CD-R (2 copies); or
    - ii. ☐ paper
  - c. ☐ Statements verifying identity of above copies

## ACCOMPANYING APPLICATIONS PARTS

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 C.F.R. §3.73(b) Statement of Power of Attorney (when there is an assignee)
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s) (if foreign priority is claimed)
16. ☒ Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17. ☐ Other:

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No: \_\_\_\_ /  
Prior application information: Examiner \_\_\_\_ Group / Art Unit: \_\_\_\_

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.


## 17. CORRESPONDENCE ADDRESS

☐ Customer Number or Bar Code Label

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or ☒ Correspondence address below

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|---------|-------------------------------------|-----------|--------------|----------|--------------|------------------------------------|--|
| Name    | Sullivan Law Group                  |           |              |          |              | COPY OF PAPERS<br>ORIGINALLY FILED |  |
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| Country | U.S.A.                              | Telephone | 602 956 6161 | Fax      | 602 956 6262 |                                    |  |

|                   |   |                                   |                  |
|-------------------|---|-----------------------------------|------------------|
| Name (Print/Type) | David S. Taylor   | Registration No. (Attorney/Agent) | 39,045           |
| Signature         |  | Date                              | October 30, 2001 |

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

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October 30, 2001

## *Via Express Mail*

### BOX PATENT APPLICATION

Assistant Commissioner

for Patents

U.S. Patent and Trademark Office

Washington, D.C. 20231

**Re: *Filing New Utility Patent Application Entitled***  
***"LAMP ASSEMBLY WITH DUAL MODE REFLECTOR"***  
**Our Ref.: 1570-005**

Dear Sir:

We hereby submit the following documents in connection with the filing of this new utility patent application entitled, "LAMP ASSEMBLY WITH DUAL MODE REFLECTOR," inventor Gregg J. Ghelfi, an individual residing at 3053 East Claremont Avenue, Phoenix, Arizona 85016:

1. Application for Patent Cover Sheet (1 sheet);
2. Specification and Claims (25 pages) including 3 independent claims and 22 claims total;
3. Abstract of the Disclosure (1 page);
4. Informal Drawings (4 Figures on 4 Sheets);
5. Request for Non-Publication (1 page); and
6. Postcard for receipt acknowledgment.

If there are any other fees due in connection with the filing of this

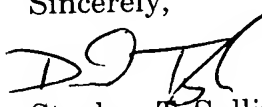
Assistant Commissioner  
for Patents  
October 30, 2001  
Page 2

application or otherwise relating to this application, please charge the fees to our  
Deposit Account No. 501324.

Please accord this application a serial number and the filing date of  
**October 30, 2001.**

Please give us a call if you have any questions or wish to discuss this  
matter.

Sincerely,


  
Stephen T. Sullivan  
David S. Taylor

STS/DST/lam  
Enclosures

Express Mail Label No. EL800112560US

Date of Deposit October 30, 2001

I hereby certify that the attached application and other  
documents listed above are being deposited with the U.S. Postal  
Service "Express Mail Post Office to Addressee" service under 37  
C.F.R. § 1.10 on the date indicated above and is addressed, BOX  
PATENT APPLICATION, Assistant Commissioner for Patents,  
U.S. Patent and Trademark Office, Washington, D.C. 20231.



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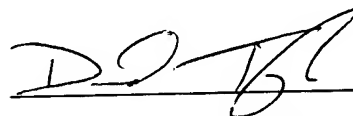
**NONPUBLICATION REQUEST  
UNDER  
35 U.S.C. 122(b)(2)(B)(i)**

|                      |  |
|----------------------|--|
| First Named Inventor | Ghelfi                                 |
| Title                | Lamp Assembly With Dual Mode Reflector |
| Atty Docket Number   | 1570-005                               |

I hereby certify that the invention disclosed in the attached application **has not and will not be** the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U.S.C. 122(b).

October 30, 2001

Date



Signature

David S. Taylor

Typed or printed name

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application **upon filing**.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant **must** notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. **Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).**

Burden Hour Statement: This collection of information is required by 37 CFR 1.213(a). The information is used by the public to request that an application not be published under 35 U.S.C. 122(b) (and the PTO to process that request). Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This form is estimated to take 6 minutes to complete. This time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

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